

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT  
APPLICATION

In re: PATENT APPLICATION of:

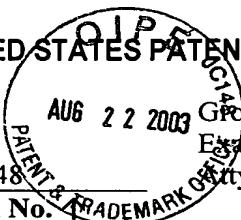
Inventor(s): M. SAITO, et al.

Appln. No.: 09

703,548

Series Code ↑

Serial No.



Group Art Unit 2655

Examiner: N.Z. HINDI

Ex. Dkt. P 245664

M#

H-7441US

Client Ref

Filed: NOVEMBER 1, 2000

Title: OPTICAL DISK RECORDING APPARATUS  
HAVING PUSH-PULL SIGNAL PROCESSING  
CIRCUIT, WOBBLE EXTRACTION CIRCUIT  
AND PRE-PIT DETECTION CIRCUITR  
R C E  
E**DO NOT USE FOR PROVISIONAL,  
DIVISIONAL, CIP OR DESIGN  
APPLICATIONS, OR REEXAMINATION OF  
PATENTS**

Mail Stop RCE

Hon. Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

Date:

August 19, 2003

RECEIVED

AUG 26 2003

Sir:

**REQUEST FOR CONTINUED EXAMINATION (RCE) UNDER RULE 114**

Technology Center 2600

Please continue the examination of this application.

**PREREQUISITES**This application was filed on/after June 8, 1995, is not abandoned, and no court action has been filed, or if filed, it has been terminated.An issue fee **has not been** paid (unless a petition under Rule 313(c)(2) is also being filed -- see item 4 below).**Prosecution has been closed as defined in Rule 114(b).****Reply to any outstanding action must be enclosed or previously filed.**

This application is entitled under Rule 114 to withdrawal of any outstanding finality or of any allowance plus a new action by the Examiner. Consideration on the merits of each submission (e.g., IDS, Amendment, new arguments, new evidence, but not appeal/reply briefs themselves) filed herewith is respectfully requested.

**Please consider the following before the next Official Action:**

1. Please ☒ enter ☐ do not enter the Amendment filed
2. ☐ The enclosed new Amendment
3. ☐ Consider the arguments in the appeal brief filed \_\_\_ and reply brief filed
4. ☐ The issue fee has been paid, but this RCE is based on Rule 313(c)(2). See enclosed petition.
5. ☒ The enclosed Information Disclosure Statement  
☐ IDS Letter ☐ Cited Appln ☐ Foreign Search Report/OA  
☒ PTO-1449 ☒ Cited Documents
6. ☐ Please suspend action under Rule 103(c) for a period of \_\_\_ months (3 mos. Max) for which charge the required \$130 fee (fee code 098) to our Deposit Account (see below).
7. Petition is hereby made to extend the **original** due date of \_\_\_ to cover the date  
this Request is filed. **PLEASE CHARGE** the requisite fee to our Deposit  
Account (see below) (1 mo) \$110/\$55  
(2 mos) \$410/\$205 + 0  
(3 mos) \$930/\$465
8. **PLEASE CHARGE** the Rule 17(e) (RCE) filing fee of ☐ \$750 (lg. ent.) ☐ \$375 (sm. ent.) plus any  
deficiency and any other fee due now or later to our Deposit  
Account No. 03-3975 under Order No. \_\_\_ / \_\_\_

C#

M#

**NOTE: Rule 17(e) filing fee Cannot be deferred!  
NO CLAIMS FEE REQUIRED** unless you are  
adding claims by box 2 Amendment in which case  
cover this with PAT-120.Pillsbury Winthrop LLP  
Intellectual Property Group

By Atty:

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PATENT  
51270-245664

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
M. SAITO, et al.

Serial No.: 09/703,548

Filed: NOVEMBER 1, 2000

For: OPTICAL DISK RECORDING  
APPARATUS HAVING PUSH-PULL  
SIGNAL PROCESSING CIRCUIT, WOBBLE  
EXTRACTION CIRCUIT AND PRE-PIT  
DETECTION CIRCUIT

Group No.: 2655

Examiner: N.Z. HINDI

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AUG 26 2003

Technology Center 2600

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Mail Stop DD  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sirs:

1. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☐ The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:
  - (1) a final action under §1.113 or
  - (2) a notice of allowance under §1.311, whichever occurs first.

**CERTIFICATION OR FEE**

A. Included with this transmittal is:

- i. ☐ a certification (set forth below) in accordance with 37 C.F.R. §1.97(e). (If for any reason the certificate set forth below should be unsatisfactory, the Commissioner is provisionally authorized to charge the \$240 fee (37 C.F.R. §1.17(p)) to Deposit Account No. 16-1805. A copy of this sheet is enclosed.)

**OR**

- ii. ☐ the attached fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).

3. ☐ The information disclosure statement transmitted herewith is being filed *after* a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first, but before, or simultaneously with the payment of the issue fee.

**CERTIFICATION, PETITION AND FEE**

A. In accordance with the requirements of 37 C.F.R. §1.97(d):

- i. Set forth below is a certification as specified in 37 C.F.R. §1.97(e).
- ii. Applicant hereby petitions for the consideration of the accompanying information disclosure statement. 37 C.F.R. §1.97(d)(ii).
- iii. Applicant submits the petition fee set forth in §1.17(i)(1). (\$180.00).

**CERTIFICATION**

(Required if 2Ai or 3 above is marked)

4. I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

**OR**

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

5. ☒ If it should be determined that for any reason either an insufficient or an excessive fee has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 16-1805. A copy of this petition is enclosed.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Dated: August 19, 2003

By: James M. Wakely  
James M. Wakely  
Registration No. 48,597  
Attorney for Applicant(s)

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Los Angeles, CA 90017-5406  
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P. O. Box 1450, Alexandria VA 22313-1450, on August 19, 2003.

By: James M. Wakely  
James M. Wakely, Reg. No. 48,597

